# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Thomas E. Ward III, et al

Application No.: Filed:

To be assigned February 4, 2002

Title

SYSTEM AND METHOD FOR MODIFYING

ADVERTISEMENT RESPONSIVE TO EPG

**INFORMATION** 

Grp./Div.

To be assigned

Examiner

To be assigned

Docket No.

47840/RRT/I148



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# INFORMATION DISCLOSURE STATEMENT FOR A CONTINUING NON-CPA APPLICATION

Assistant Commissioner for Patents Washington, D.C. 20231

Post Office Box 7068 Pasadena, CA 91109-7068 February 4, 2002

#### Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, enclosed are copies of Forms PTO-1449 and copies of Form PTO-892 from the parent application. The references listed thereon are not enclosed because they were of record in a parent application, Application No. 09/595,216, filed June 16, 2000.

It is respectfully requested that these references be considered in the examination of this application, and identified in the list of references cited on the patent issuing on this application.

Respectfully submitted,

CHRISTIE, PARKER & HALE, hLLP

By

Raymond R. Tabandeh

Reg. No. 43,945 626/795-9900

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FORM PTO-1449	Attorney Docket Number	32714/JEC 0
	Application Number	09/120,488
INFORMATION DISCLOSURE	Filing Date	July 21, 1998
STATEMENT BY APPLICANT	Applicant(s)	Ronald Alexander, et al.
O I I I I I I I I I I I I I I I I I I I	Group Art Unit	2711
(use as many sheets as necessary)	Examiner Name	N. Flynn

		U.S	S. PATENT DOCUMENT	i'S		
EXAMINER	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
INITIALS	5,589,892	12/1996	Knee et al.	348	731	
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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

32714/LTR FORM PTO-1449 Attorney Docket Number Application Number 09/120,488 July 21, 1998 INFORMATIO Filing Date STATEMENT BY APPLICANT Applicant(s) Ron Alexander, et al. Group Art Unit 2711 (use as many sheets as necessary) Examiner Name N. Flynn

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FORM PTO-1449	**************************************	Attorney Docket Number	32714/LTR
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INFORMATIO	ON DISCLOSURE	Filing Date	July 21, 1998
STATEMENT	BY APPLICANT	Applicant(s)	Ron Alexander, et al.
		Group Art Unit	2711
(use as many s	heets as necessary)	Examiner Name	To be assigned

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EXAMINER INITIALS	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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FORM PTO-1449	Attorney Docket Number	39674/JEC/I148
	Application Number	09/595,216
INFORMATION DISCLOSURE	Filing Date	June 16, 2000
STATEMENT BY APPLICANT	Applicant(s)	Thomas E. Ward, III, et al.
	Group Art Unit	2743
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	Filing Date	June 16, 2000
	Applicant(s)	Thomas E. Ward III, et al.
	Group Art Unit	2743
	Examiner Name	To be assigned

		U.S	S. PATENT DOCUMENT	rs		
EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,610,653	03/1997	Abecassis	348	110	
	5,617,565	04/1997	Augenbraun et al	395	604	
	5,623,613	04/1997	Rowe et al	395	353	
	5,629,733	05/1997	Youman et al	348	7	
	5,635,978	06/1997	Alten et al	348	7	
	6,177,931	01/2001	Alexander et al.	345	327	

		FOREIC	ON PATENT DOCUME	NTS		_	
						TRANSLATION	
EXAMINER INITIALS	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	YES	NO
	0 762 751	03/1997	EPO				
	0 834 798	04/1998	EPO				
	0 854 645	07/1998	EPO				
	WO 96/07270	03/1996	WIPO				
	WO 96/17473	06/1996	WIPO				
	WO 96/31980	10/1996	WIPO			<u></u>	
	WO 96/41477	12/1996	WIPO				
	WO 97/13368	04/1997	WIPO				
	WO 97/49242	12/1997	WIPO				
	WO 98/27723	06/1998	WIPO				<u> </u>

EXAMINER SIGNATURE	DATE CONSIDERED	
EXAMINER: Initial if reference considered	hether or not citation is in conformance with MPEP 609; Draw line through citation if not in	

EXAMMENT: Initial if reference considered, whether or not citation is in conformance with MPEP conformance and not considered. Include copy of this form with next communication to applicant.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FORM PTO-1449	Attorney Docket Number	39674 /JEC/I148
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Application Number	09/595,216
	Filing Date	June 16, 2000
	Applicant(s)	Thomas E. Ward III, et al.
	Group Art Unit	2743
	Examiner Name	To be assigned

FOREIGN PATENT DOCUMENTS							
						TRANS	LATION
EXAMINER INITIALS	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	YES	NO
	WO 98/43183	10/1998	WIPO				

	OTHER DOCUMENTS					
EXAMINER INITIALS	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
	Supplementary European Search Report for Application No. EP 98 93 5889, completed on September 28, 2001					

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EXAMINER	DATE
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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE





DATE: February 4, 2002

Docket No.: 47

47840/RRT/I148

Inventor(s):

Thomas E. Ward III; Kenneth Hancock; Douglas B. Macrae

Title

SYSTEM AND METHOD FOR MODIFYING ADVERTISEMENT

RESPONSIVE TO EPG INFORMATION

Duplicate 2

## FEE DETERMINATION

CLAIMS AS FILED							
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE		
TOTAL CLAIMS	58 – 20	38	x \$9.00	38 x \$18.00	\$684.00		
INDEPENDENT CLAIMS	5 – 3	2	x \$42.00	2 x \$84.00	\$168.00		
MULTIPLE-DEPENDENT	CLAIMS FEE		\$140.00	\$280.00	\$0.00		
BASIC FEE	· · · · · · · · · · · · · · · · · ·				\$740.00		
	ТОТ	AL FILING FEE	\$1,592.00				
List Independent Claims: 1, 20, 39, 57 and 58							

### METHOD OF PAYMENT

v	Payment Enclosed:	Chaple for \$ 1 509 00	١
Α	Payment Enclosed:	Uneck for a Lagz.uu	,

X The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

Ву

Raymond R. Tabandeh Reg. No. 43,945

Reg. No. 43,945 626/795-9900

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